

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF OHIO  
EASTERN DIVISION**

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SECURITIES AND EXCHANGE COMMISSION,	)	
	)	
	)	
Plaintiff,	)	
	)	
v.	)	Case No. 1:22-cv-1554
	)	
KRIS A. SWAFFER,	)	
SEAN K. WILLIAMS, and	)	
POHIH, Inc.,	)	
	)	Hon. Bridget Meehan Brennan
Defendants,	)	
and	)	
	)	
ROSALYN K. SWAFFER,	)	
	)	
Relief Defendant.	)	
	)	

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**STIPULATION TO EXTEND THE ANSWER DEADLINE FOR  
DEFENDANTS KRIS SWAFFER AND POHIH, INC. AND RELIEF  
DEFENDANT ROSALYN SWAFFER**

Plaintiff, Securities and Exchange Commission (“SEC”), hereby stipulates as follows:

**SUMMARY**

1. The deadline for Defendants Kris Swaffer and POHIH, Inc. and Relief Defendant Rosalyn Swaffer to answer the Complaint is on November 15, 2022. (*See* ECF Doc. Nos. 10-12)
2. Counsel that represented Mr. Swaffer during the SEC’s pre-suit investigation recently informed the SEC that they will be unable to represent Mr. Swaffer, his wife, or POHIH in this lawsuit.
3. Plaintiff has agreed to extend the answer deadline fourteen (14) days to permit these parties additional time to retain counsel and answer the Complaint.
4. Thus, Plaintiff stipulates, and has no objection, to an answer deadline of November

29, 2022, for Defendants Swaffer and POHIH and Relief Defendant Rosalyn Swaffer, subject to Court approval.

Respectfully submitted,

Dated: November 14, 2022

s/ Michael D. Foster

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**CERTIFICATE OF SERVICE**

I hereby certify that on November 14, 2022, I electronically filed the foregoing document with the Clerk of the Court for the Northern District of Ohio, Eastern Division, by using the CM/ECF system which will send a notice of electronic filing to all CM/ECF participants of record.

s/ Michael D. Foster